



Hosted by the Colorado Attorney General's Securities Fraud Team  
July 20<sup>th</sup>, 2016, 9:00 a.m. – 12:00 p.m.

## Securities and Investment Fraud Training

### Who should attend?

Law Enforcement

All Regulators

The Colorado Attorney General's Securities Fraud Unit cordially invites you to the Ralph L. Carr Colorado Judicial Center to attend a basic training in securities and securities fraud.

The Colorado Attorney General's Securities Fraud Team recognizes the importance of training how to approach securities fraud, a crime that is often difficult to detect or understand. This program is designed to assist law enforcement and regulatory professionals in identifying securities fraud and addressing investor complaints. We hope to enhance the strong working relationship between law enforcement, regulators and the securities enforcement community.

We encourage Criminal Investigators, Law Enforcement, and Regulators to attend this small gathering and help in the preventing and investigating of securities fraud.

**80 slots are available**  
**RSVP by July 18<sup>th</sup>, 2016 to**  
**[Danielle.zambrano@coag.gov](mailto:Danielle.zambrano@coag.gov)**

Ralph L. Carr Colorado  
Judicial Center  
1300 Broadway, 1<sup>st</sup> Floor  
Conference Room 1D  
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### Topics will Include:

What makes a  
transaction a  
security

What is Securities and  
Investment fraud

What agencies deal  
with securities fraud  
and what do they do

What kind of  
documents will you  
see and how to  
understand them